



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CLIFF & BERRIDGE, PLC
P.O. Box 19928
Alexandria, Virginia 22320
Telephone: (703) 836-6400

Attorney Docket No.: 105398

Date: November 14, 2001

Examiner: A. Chang

Art Unit: 2872

Customer Number: 25944

Director of the U.S. Patent
and Trademark Office
Washington, D.C. 20231
Sir:

**CONTINUED PROSECUTION APPLICATION TRANSMITTAL
RULE 1.53(d)**

BOX CPA

#70
Reg bar
CPB
J. M. Amador
11/20/01

Transmitted herewith under 37 C.F.R. §1.53(d) is a request for filing a

☒ Continuation

☐ Divisional

continued prosecution application of prior pending Application No. 09/501,600, filed February 10, 2000,

For (Title): OPTICAL FILTER AND OPTICAL DEVICE

(Amended Title): _____

By (Inventors): Yousuke KOUNO

1. ☒ Abandon said prior application as of the filing date accorded this application.
2. ☒ The filing fee is calculated below:

**CLAIMS REMAINING IN THE APPLICATION AFTER
ENTRY OF ANY PRELIMINARY AMENDMENT OR
AMENDMENT UNDER 37 C.F.R. §1.116 NOTED BELOW**

| FOR: | NO. FILED | NO. EXTRA |
|--|-----------|-----------|
| BASIC FEE | | |
| TOTAL CLAIMS | 9 - 20 | = 0 |
| INDEP CLAIMS | 2 - 3 | = 0 |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED | | |

* If the difference is less than zero, enter "0".

SMALL ENTITY

| RATE | FEE |
|---------|--------|
| | \$ 370 |
| x 9 = | \$ |
| x 42 = | \$ |
| + 140 = | \$ |
| TOTAL | \$ |

OR
OR
OR
OR
OR
OR

**OTHER THAN A
SMALL ENTITY**

| RATE | FEE |
|-------|--------|
| | \$ 740 |
| x 18 | \$ |
| x 84 | \$ |
| + 280 | \$ |
| TOTAL | \$ 740 |

3. ☒ Check No. 124843 in the amount of \$740.00 to cover the filing fee is attached. The Director is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 15-0461. Two duplicate copies of this sheet are attached.

**DEPOSIT ACCOUNT USE
AUTHORIZATION**

Please grant any extension
necessary for entry;
Charge any fee due to our
Deposit Account No. 15-0461

11/15/2001 EABUBAK1 00000002 09501600

02 FC:131

740.00 OP

03 FC:122

130.00 OP

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4. ☐ Cancel in this application claims _____ of the prior application before calculating the filing fee. At least one independent claim is retained for filing purposes.
5. ☐ Please enter in the present application the Amendment Under 37 CFR §1.116 with any attachments filed on _____ in said prior application, which was not entered therein.
6. ☒ Please suspend action on this CPA application for three months under 37 C.F.R. §1.103(b). Check no. 124846 in the amount of \$130.00 to cover the suspension fee is attached.
7. ☐ This application is filed by fewer than all the inventors named in the prior application (37 C.F.R. §1.53(d)(4)). Delete the following inventor(s) named in the prior application:

8. ☒ A Preliminary Amendment will be filed shortly.
9. ☐ An Information Disclosure Statement is attached.
10. ☐ Small entity status:
☐ a. Entitlement to small entity status is hereby asserted.
☐ b. Small entity status is no longer claimed.
11. ☒ Other: A Petition for Extension of Time is attached.
12. ☐ This application is NOT to be published under 35 U.S.C. 112(b). The undersigned attorney or agent hereby certifies that the invention disclosed in this application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.
13. ☒ The power of attorney in the prior application is to James A. Oliff, Registration No. 27,075, William P. Berridge, Registration No. 30,024, Kirk M. Hudson, Registration No. 27,562, Thomas J. Pardini, Registration No. 30,411, Edward P. Walker, Registration No. 31,450, Robert A. Miller, Registration No. 32,771, Mario A. Costantino, Registration No. 33,565, Stephen J. Roe, Registration No. 34,463, Joel S. Armstrong, Registration No. 36,430, Christopher W. Brown, Registration No. 38,025, and/or Richard E. Rice, Registration No. 31,560.
14. ☒ Address all future communications to:

OLIFF & BERRIDGE, PLC
P.O. Box 19928
Alexandria, Virginia 22320

Respectfully submitted,



James A. Oliff
Registration No. 27,075

Mario A. Costantino
Registration No. 33,565

JAO:MAC/ccs